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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 2826
Examiner: Mr. Benjamin P. Sandvik

In re PATENT APPLICATION of:

Applicant : Kazutaka SHIBATA)
Serial No. : 10/795,994)
Filed : March 10, 2004)
For : SEMICONDUCTOR DEVICE)
AND METHOD FOR)
MANUFACTURING THE)
SAME)
Attorney Ref. : KAW 110D1)

**INFORMATION
DISCLOSURE
STATEMENT**

January 8, 2007

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is an Information Disclosure Statement submitted under 37 CFR 1.97-1.98, within the time period embraced by 37 CFR 1.97(d).

A Form PTO-1449 and copies of the references listed therein are attached. These references were cited in a search report on a counterpart European application, and a copy of the European search report is attached to show the relevance of the non-English references. A patent Abstracts of Japan Summary is also attached to provide English-language information about the relevance of the Japanese-language reference. It is noted that the attached European search report identifies more references than are listed on the attached form PTO-1449. The reason is that the additional references are already of record in the present US application.

The European search report is dated December 4, 2006. Accordingly, it is hereby certified, pursuant to 37 CFR 1.97 (e)(1), that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

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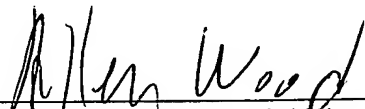
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The \$ 180 fee specified by 37 CFR 1.17 (b) is being submitted concurrently. Should this remittance be accidentally missing or insufficient, though, any fees that may be needed can be charged to our deposit account number 18-0002.

In view of the foregoing, it is respectfully requested that the references be considered and made of record.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Allen Wood", is written over a horizontal line.

Allen Wood - Reg. No. 28,134

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FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

Atty. Docket:

Application No.:

KAW 110
D1

10/795,994

Applicant:

Kazutaka SHIBATA

Filing Date:

March 10,
2004

Group Art Unit:

2826



U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA	US 5,629,566	05/13/1997	Doi et al.			
	AB	US 6,121,689	09/19/2000	Capote et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Trans - Lation
	AI	JP 03276750	12/06/1991	Japan			Yes
	AJ	DE 19640192A1	04/02/1998	Germany			No
	AK						
	AL						
	AM						

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AN	
	AO	

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw